

# FACTS

## WHAT DOES LEONETTI & ASSOCIATES, LLC DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and addresses
- Assets and investment experience
- Account transactions and income

When you are *no longer* our client, we continue to share your information as described in this notice.

### How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Leonetti & Associates, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Leonetti & Associates, LLC share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We do not share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We do not share
<b>For our affiliates to market to you</b>	No	We do not share
<b>For non-affiliates to market to you</b>	No	We do not share

### Questions?

Call 800-454-0999 or go to [www.leonettiassoc.com](http://www.leonettiassoc.com)

## Who we are

## Who is providing this notice?

Leonetti &amp; Associates, LLC

## What we do

## How does Leonetti &amp; Associates, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards as well as secured files and buildings.

## How does Leonetti &amp; Associates, LLC collect my personal information?

We collect your personal information, for example, when you

- open an account or seek advice about your investments
- direct us to buy or sell securities
- enter into an investment advisory agreement or tell us about your investments or earnings

We also collect your personal information from other sources, such as custodians, affiliates, or other companies.

## Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

## Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- *Our affiliates include financial companies such as WealthTrust Arizona, LLC and broker dealers such as Global Financial Services, LLC.*

## Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- *Leonetti & Associates, LLC does not share with non-affiliates so they can market directly to you.*

## Joint marketing

A formal agreement between non-affiliated financial companies that together market financial products or services to you.

- *Leonetti & Associates, LLC does not jointly market with non-affiliated companies.*

## Other important information